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# Conformal frame independence in cosmology

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# 1. Conformal frames / - why bother? -

In cosmology, we encounter various frames of the metric which are **conformally equivalent**.

Einstein frame, Jordan frame, string frame, ...

They are **mathematically equivalent**, so one can work in any frame as long as mathematical manipulations are concerned.

But it is often said that there exists a unique **physical frame** on which we should consider actual 'physics.'

Is it really so?

# Two typical frames in scalar-tensor theory

$$[\phi + g]$$

- Jordan(-Brans-Dicke) frame

“gravitational” part :  $F(\phi)R + L(\phi)$

matter part:  $L(\psi, A, \dots) \sim$  minimal coupling with  $g$

⎧ matter assumed to be **universally coupled** with  $g$   
 ⋯ for baryons, **experimentally consistent** ⎫

- Einstein frame

“gravitational” part :  $R + L(\phi) \sim$  minimal coupling  
 between  $g$  and  $\phi$

matter part:  $G(\phi)L(\psi, A, \dots)$   $\psi$  : fermion,  $A$  : vector, ...

⎧ if **non-universal coupling**:  
 $\Rightarrow \sum_A G_A(\phi)L_A(Q_A); Q_A = \psi, A, \dots$  ⎫

# Conformal transformation

A few basics:

- metric and scalar curvature

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$$

$$R \rightarrow \tilde{R} = \Omega^{-2} \left[ R - (D-1) \left( 2 \frac{\square \Omega}{\Omega} - (D-4) g^{\mu\nu} \frac{\partial_\mu \Omega \partial_\nu \Omega}{\Omega^2} \right) \right]$$

- matter fields (for  $D = 4$ )

$$\phi \rightarrow \tilde{\phi} = \Omega^{-(D-2)/2} \phi \quad (= \Omega^{-2} \phi) \quad \text{scalar}$$

$$A_\mu \rightarrow \tilde{A}_\mu = \Omega^{-(D-4)/2} A_\mu \quad (= A_\mu) \quad \text{vector}$$

$$\psi \rightarrow \tilde{\psi} = \Omega^{-(D-1)/2} \psi \quad (= \Omega^{-3/2} \psi) \quad \text{fermion}$$

## 2. Standard ("baryonic") action in 4D

(for Universe at  $T \lesssim \text{GeV}$ )

'Jordan' frame (= matter minimally coupled to gravity)

$$S = \int d^4x \sqrt{-g} \left[ -i \bar{\psi}_X \gamma^\mu (\vec{D}_\mu - ie_X A_\mu) \psi_X - m_X \bar{\psi}_X \psi_X - \frac{1}{4} g^{\mu\alpha} g^{\nu\beta} F_{\mu\nu} F_{\alpha\beta} + \dots \right]$$

$$\bar{\psi} \gamma^\mu \overset{\leftrightarrow}{D}_\mu \psi = \frac{1}{2} [\bar{\psi} \gamma^\mu D_\mu \psi - (D_\mu \bar{\psi}) \gamma^\mu \psi] ,$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu , \quad D_\mu = \partial_\mu - \frac{1}{4} \omega_{ab\mu} \Sigma^{ab} ,$$

$$\Sigma^{ab} = \frac{1}{2} [\gamma^a, \gamma^b] , \quad \omega_{ab\mu} = e_{a\nu} \nabla_\mu e_b^\nu .$$

$\psi_X$  :  $X$  = electron/proton/...

$A$  : electromagnetic 4-potential

For the moment, ignore/freeze dilatonic degrees of freedom.



(scalar gravitational)

# Effect of conformal transformation

For  $\tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$

$$S = \int d^4x \sqrt{-\tilde{g}} \left[ i \bar{\tilde{\psi}} \tilde{\gamma}^\mu \left( \overleftrightarrow{D}_\mu - ieA_\mu \right) \tilde{\psi} - \tilde{m} \bar{\tilde{\psi}} \tilde{\psi} - \frac{1}{4} \tilde{g}^{\mu\alpha} \tilde{g}^{\nu\beta} F_{\mu\nu} F_{\alpha\beta} + \dots \right]$$

where  $\tilde{\gamma}^\mu = \Omega^{-1} \gamma^\mu$ ,  $\tilde{\psi} = \Omega^{-3/2} \psi$ ,  $\tilde{m} = \Omega^{-1} m$ .

( $A_\mu$  is conformal invariant in 4 dim)

Conformal transformation from 'Jordan frame' to any other frame results in **spacetime-dependent mass**.

And this is the only effect, provided dynamics of  $\Omega$  (at short distances) can be neglected.

( $\Omega$  may be dynamical on cosmological scales)

# 3. Big Bang Cosmology

## Conventional wisdom

$$ds^2 = -dt^2 + a^2(t)d\sigma_{(K)}^2 ;$$

$d\sigma_{(K)}^2$  : homogeneous and isotropic 3-space ( $K = \pm 1, 0$ )

$$\left(\frac{\dot{a}}{a}\right)^2 \equiv H^2 = \frac{8\pi G}{3}\rho - \frac{K}{a^2} \quad \dots \text{expanding universe}$$

➔ cosmological redshift  $E_{\text{obs}} = \frac{E_{\text{emit}}}{1+z} \Leftrightarrow$  Hubble's law

This is how we interpret observational data.

This is regarded as a 'proof' of cosmic expansion.

But ....

Conformal transformation:

$$ds^2 \rightarrow d\tilde{s}^2 = \Omega^2 ds^2; \quad \Omega = \frac{1}{a}$$

$$\Rightarrow d\tilde{s}^2 = -d\eta^2 + d\sigma_{(3)}^2; \quad d\eta = \frac{dt}{a(t)}$$

In this conformal frame, the universe is **static**.

no Hubble flow.

photons **do not redshift**...

Is this frame unphysical?

In this static frame,

- electron mass varies in time:  $\tilde{m}(\eta) = m\Omega^{-1} = \frac{m}{1+z}$   
where “z” is defined by

$$1+z \equiv \Omega = \frac{1}{a(\eta)} \quad (a_0 = a(\eta_0) = 1)$$

- Bohr radius  $\propto m^{-1} \Leftrightarrow$  atomic energy levels  $\propto m$  :

energy level in  
'static' frame

$$\tilde{E}_n = \frac{E_n}{1+z}$$

energy level in  
'Jordan' frame

Thus frequency of photons emitted from a level transition  $n \rightarrow n'$  at time  $z = z(\eta)$  is

$$\tilde{E}_{nn'} = \frac{E_{nn'}}{1+z}$$

this is exactly what we observe as Hubble's law!

# Gravity in the static frame

Assume canonical Einstein theory with matter minimally coupled to gravity:

Jordan frame = Einstein frame

- Gravity is stronger in the early universe:

$$\frac{1}{G} \sqrt{-g} R = \frac{1}{G\Omega^2} \sqrt{-\tilde{g}} \tilde{R} + \dots \Rightarrow \tilde{G} = G\Omega^2 = \frac{G}{a^2}$$

- This is what we observe in the original frame:

$$G \frac{m_1 m_2}{r_p^2} = G \frac{m_1 m_2}{a^2 r^2} = \tilde{G} \frac{m_1 m_2}{r^2}$$

proper distance

comoving distance

(gravity is prop to  $a^{-2}$  at a fixed comoving distance)

# Interpretation of CMB in this frame

- CMB photons have **never redshifted**.
- The universe was in **thermal equilibrium** when the electron mass was small by a factor  $>10^3$ , ie, at time  $z > 10^3$ , **at fixed temperature  $T=2.725\text{K}$** .

(we have set the scale  $\Omega(z=0) = \frac{1}{a_0} = 1$ )

Just to check physics...

- Thomson cross section:  $\tilde{\sigma}_T \propto \tilde{m}^{-2} \rightarrow \tilde{\sigma}_T = \sigma_T (1+z)^2$   
electron density:  $\tilde{n}_e = \text{const.} = n_e (1+z)^{-3}$

⇒ rate of scattering/interaction per unit proper time:

$$\tilde{n}_e \tilde{\sigma}_T d\eta = \frac{n_e \sigma_T}{1+z} d\eta = n_e \sigma_T dt$$

local/non-gravitational

Thus physics is the same. It's only the scale that differs.

# 4. Cosmological Perturbations

Makino & MS (1991), ...

- tensor-type perturbation

$$\begin{aligned}
 ds^2 &= -dt^2 + a^2(t) (\delta_{ij} + h_{ij}) dx^i dx^j \\
 &= a^2(\eta) \left[ -d\eta^2 + (\delta_{ij} + h_{ij}) dx^i dx^j \right]
 \end{aligned}$$



$$\partial_j h^{ij} = h^j_j = 0$$

$$\begin{aligned}
 d\tilde{s}^2 &= \Omega^2 ds^2 \\
 &= \Omega^2(x^\mu) a^2(\eta) \left[ -d\eta^2 + (\delta_{ij} + h_{ij}) dx^i dx^j \right]
 \end{aligned}$$

Definition of  $h_{ij}$  is apparently  **$\Omega$ -independent**.

- vector-type perturbation

$$ds^2 = a^2 \left[ -d\eta^2 + 2\mathbf{B}_j dx^j d\eta + \left( \delta_{ij} + \partial_i \mathbf{H}_j + \partial_j \mathbf{H}_i \right) dx^i dx^j \right]$$



$$\partial_j \mathbf{B}^j = \partial_j \mathbf{H}^j = 0$$

$$d\tilde{s}^2 = \Omega^2 ds^2$$

$$= \Omega^2 a^2 \left[ -d\eta^2 + 2\mathbf{B}_j dx^j d\eta + \left( \delta_{ij} + \partial_i \mathbf{H}_j + \partial_j \mathbf{H}_i \right) dx^i dx^j \right]$$

Definitions of  $B_j$  and  $H_j$  are also  $\Omega$ -independent.

tensor & vector perturbations are  
conformal frame-independent

- scalar-type perturbation

$$ds^2 = a^2(\eta) \left[ -(1 + 2A) d\eta^2 + 2\partial_j B dx^j d\eta + \left( (1 + 2\mathcal{R})\delta_{ij} + 2\partial_i \partial_j E \right) dx^i dx^j \right]$$



$$\begin{aligned} d\tilde{s}^2 &= \Omega^2 ds^2 \\ &= \Omega^2 a^2 \left[ -(1 + 2A) d\eta^2 + 2\partial_j B dx^j d\eta + \left( (1 + 2\mathcal{R})\delta_{ij} + 2\partial_i \partial_j E \right) dx^i dx^j \right] \end{aligned}$$

Definitions of  $B$  and  $E$  are  $\Omega$ -independent.

But  $A$  and  $\mathcal{R}$  are  $\Omega$ -dependent!

$$\Omega(t, x^i) = \Omega_o(t) \left[ 1 + \omega(t, x^i) \right]$$

$$\Rightarrow A \rightarrow A + \omega, \quad \mathcal{R} \rightarrow \mathcal{R} + \omega$$

Nevertheless, for  $\Omega = \Omega(\phi)$

- The important, curvature perturbation  $\mathcal{R}_c$ , conserved on superhorizon scales, is defined on **comoving** hypersurfaces.

$$\mathcal{R}_c \equiv \mathcal{R} - \frac{H}{\dot{\phi}} \delta\phi = \mathcal{R} - \frac{1}{a} \frac{da}{d\phi} \delta\phi$$

uniform  $\phi$  ( $\delta\phi = 0$ )

frame-independent

- For scalar-tensor theory with

$$L = \frac{1}{2} f(\phi) R + K(X, \phi), \quad X \equiv -\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$$

we have  $\Omega = \Omega(\phi)$

$$\mathcal{R}_c = \mathcal{R}_{\delta\phi=0} \text{ is } \Omega\text{-independent!}$$

$\mathcal{R}_c$  is conformal inv if there is no isocurvature perturbation

# generalization to nonlinear perturbation

Gong, Hwang, Park, Song & MS (2011)

- Generalization is straightforward for perturbations on superhorizon scales

$\delta N$  formalism:

$\mathcal{R}_c(t_f)$  = perturbation in the number of e-folds,  $\delta N$ , between the final comoving surface ( $t=t_f$ ) and an initial flat surface

$\delta N$  can be  $O(1)$

although the number of e-folds  $N$  depends on conformal frames,  $\delta N$  is frame-independent

## 5. Summary

- A variety of conformal frames appear in cosmology.
- There is **no unique *physical* frame**;
  - all frames are **observationally** equivalent.
  - interpretations may differ from frames to frames  
(**can be extremely unconventional in some frames**).

frame in which mass is constant gives  
most intuitive (natural) interpretation

- Curvature perturbation  $\mathcal{R}_c$  is frame-dependent
  - but is **frame-independent** if there is no isocurvature pert.
  - if  $\exists$  isocurvature pert., **matter coupling** is essential in determining which  $\mathcal{R}_c$  is directly related to observables.

- **Caveat:** what if two metrics are related by a **singular** conformal transformation?
  - eg, can we solve the initial cosmological singularity problem by a singular conformal transformation?

Probably not, because physics should be the same.  
But maybe worth studying more carefully.

# Regularizing Singularity?

Sch BH:  $ds^2 = -\left(1 - \frac{2GM}{c^2 r}\right) c^2 dt^2 + \left(1 - \frac{2GM}{c^2 r}\right)^{-1} dr^2 + r^2 d\Omega_{(2)}^2$



conf  
trans

$$d\tilde{s}^2 = \Omega^2 ds^2 = -c^2 dt^2 + \left(1 - \frac{2GM}{c^2 r}\right)^{-2} dr^2 + \left(1 - \frac{2GM}{c^2 r}\right)^{-1} r^2 d\Omega^2;$$

$$\Omega = \left(1 - \frac{2GM}{c^2 r}\right)^{-1/2}$$

If we start from the 'tilded' frame, the metric and the scalar field  $\Omega$  have a **real singularity at  $r=2GM/c^2$ .**

But the singularity disappears by the conformal transf.,

$$d\tilde{s}^2 \rightarrow ds^2 = \Omega^{-2} d\tilde{s}^2$$

**$r=2GM/c^2$  is a perfectly regular sphere.**