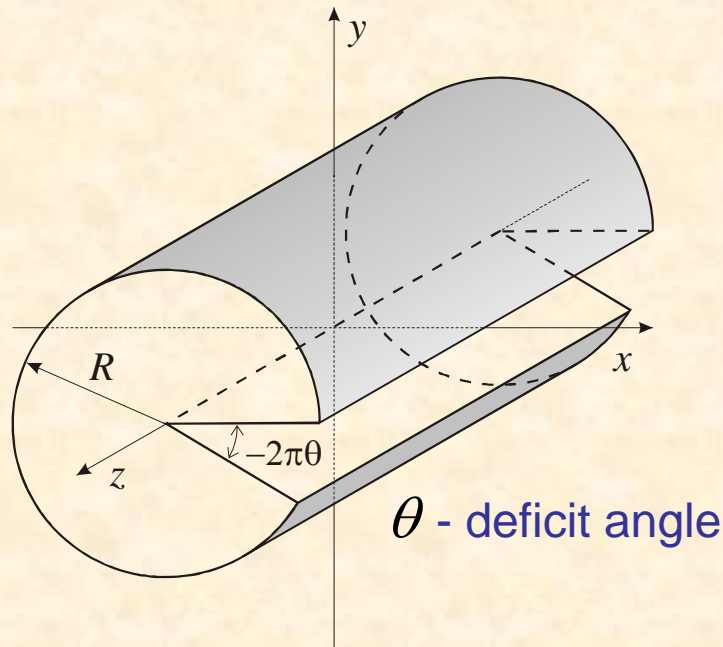


Wedge Dislocations in the Geometric Theory of Defects

M. O. Katanaev, I. G. Mannanov
Steklov Mathematical Institute, Moscow

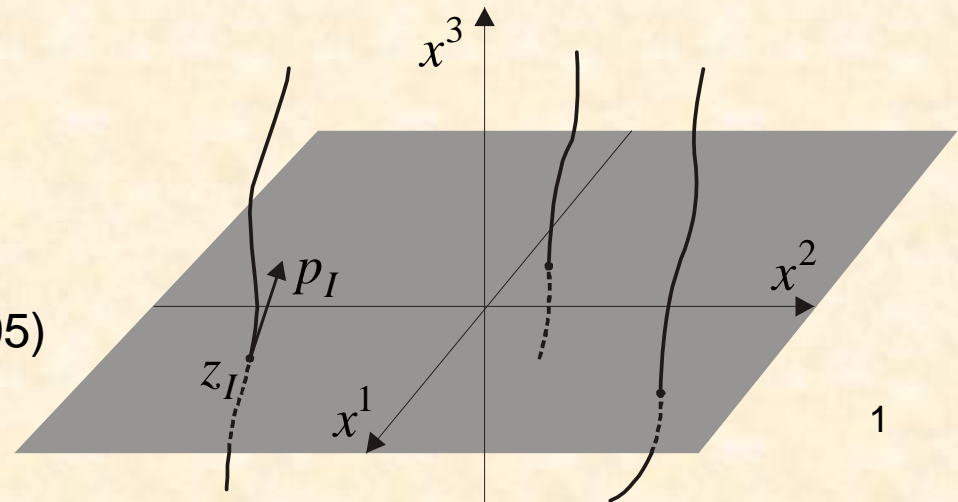
Katanaev, Volovich Ann. Phys. 216(1992)1; ibid. 271(1999)203
Katanaev Theor.Math.Phys.135(2003)733; ibid. 138(2004)163
Physics – Uspekhi 48(2005)675.

Wedge dislocation



Staruszkiewicz (1963)
Clement (1976)
Deser, Jackiw, 't Hooft (1984)

Bellini, Ciafaloni, Valtancoly (1995)
Welling (1995)
Menotti, Seminara (1999)



Free energy (the action) for static distribution of wedge dislocation

$$S = \int d^3x \sqrt{g} R - \sum_I^N m_I \int d\tau \sqrt{\dot{q}_I^\alpha \dot{q}_I^\beta g_{\alpha\beta}}$$

Notations

\mathbb{R}^3 - continuous elastic media = Euclidean three-dimensional space

x^α $\alpha = 1, 2, 3$ - global curvilinear coordinates

$g_{\alpha\beta}(x)$ - Riemannian metric

$q_I^\alpha(\tau)$ - wedge dislocation axis

$R(g)$ - the scalar curvature

$\dot{q}_I := \frac{dq_I}{d\tau}$ - velocity (tangent vector)

$m_I := 4\pi\theta_I$ - deficit angles

$I = 1, \dots, N$ - the number of dislocations

Equations of equilibrium

$$R_{\alpha\beta} - \frac{1}{2} g_{\alpha\beta} R = -\frac{1}{2} T_{\alpha\beta}$$

$$\ddot{q}_I^\alpha = -\Gamma_{\beta\gamma}^{\alpha} \dot{q}_I^\beta \dot{q}_I^\gamma$$

where $T_{\alpha\beta} = \frac{1}{\sqrt{g}} \sum_I \frac{m_I \dot{q}_{I\alpha} \dot{q}_{I\beta}}{\dot{q}_I^3} \delta(\mathbf{x} - \mathbf{q})$

$$\delta(\mathbf{x} - \mathbf{q}) := \delta(x^1 - q^1) \delta(x^2 - q^2)$$

Canonical Formulation

$(x^1, x^2, x^3) \mapsto (x^3, x^1, x^2)$ - reordering of coordinates

$\alpha, \beta, \dots = 3, 1, 2$ - notations
 $\mu, \nu, \dots = 1, 2$

$$g_{\alpha\beta} = \begin{pmatrix} N^2 + N^\rho N_\rho & N_\nu \\ N_\mu & g_{\mu\nu} \end{pmatrix} \quad \text{- ADM parameterization of 3D metric}$$

where N - lapse and N_μ - shift functions $g_{\mu\nu}$ - 2D metric on slices $x^3 = \text{const}$

$(g_{\mu\nu}, p^{\mu\nu}) \quad (q_I^\alpha, p_{I\alpha})$ - coordinates and conjugate momenta

$$S_{\text{HE}} = \int d^3x \left(p^{\mu\nu} \dot{g}_{\mu\nu} - NH_\perp^{(0)} - N^\mu H_\mu^{(0)} \right) \quad \text{- the Hilbert-Einstein action}$$

$$H_\perp^{(0)} = \frac{1}{\hat{e}} \left(p^{\mu\nu} p_{\mu\nu} - p^2 \right) - \hat{e} \hat{R} \quad \hat{e} := \det g_{\mu\nu}$$

$$H_\mu^{(0)} = -2\hat{\nabla}_\nu p^\nu{}_\mu \quad \text{- general relativity constraints}$$

$$S_I = \int d\tau \left(p_{I\alpha} \dot{q}_I^\alpha - \dot{q}_I^3 G_I \right) \quad \text{- the action for wedge dislocations}$$

$$G_I := p_{I3} - N^\mu p_{I\mu} + N \sqrt{m_I^2 - \hat{p}_I^2} = 0 \quad \text{- first class constraints} \quad I = 1, \dots, N$$

$$\hat{p}_I^2 := p_{I\mu} p_{I\nu} \hat{g}^{\mu\nu} \quad \tau_I \mapsto \tau'_I(\tau_I) \quad \text{- local invariance}$$

The gauge $\dot{q}_I^3 = 1 \implies$
$$S_I = \int d\tau \left(p_{I\mu} \dot{q}_I^\mu - N \sqrt{m_I^2 - \hat{p}_I^2} + N^\mu p_{I\mu} \right)$$

$$p_N = 0, \quad p_{N_\mu} = 0 \quad \text{- primary constraints}$$

$$H_\perp = \frac{1}{\hat{e}} \left(p^{\mu\nu} p_{\mu\nu} - p^2 \right) - \hat{e} \hat{R} + \sum_I \sqrt{m_I^2 - \hat{p}_I^2} \delta(\mathbf{x} - \mathbf{q}) = 0$$

- secondary constraints

$$H_\mu = -2 \hat{\nabla}_\nu p^\nu{}_\mu - \sum_I p_{I\mu} \delta(\mathbf{x} - \mathbf{q}_I) = 0$$

$$S_T = \int d^3x \left(p^{\mu\nu} \dot{g}_{\mu\nu} + \sum_I p_{I\mu} \dot{q}_I^\mu \delta(\mathbf{x} - \mathbf{q}) - N H_\perp - N^\mu H_\mu \right) \quad \text{- total Hamiltonian}$$

Secondary constraints

$$H_{\perp} = \frac{1}{\hat{e}} \left(p^{\mu\nu} p_{\mu\nu} - p^2 \right) - \hat{e} \hat{R} + \sum_I \sqrt{m_I^2 - \hat{p}_I^2} \delta(\mathbf{x} - \mathbf{q}_I) = 0$$

$$H_{\mu} = -2 \hat{\nabla}_{\nu} p^{\nu}_{\mu} - \sum_I p_{I\mu} \delta(\mathbf{x} - \mathbf{q}_I) = 0$$

Equations for metric

$$\dot{g}_{\mu\nu} = \frac{2N}{\hat{e}} p_{\mu\nu} - \frac{2N}{\hat{e}} g_{\mu\nu} p + \hat{\nabla}_{\mu} N_{\nu} + \hat{\nabla}_{\nu} N_{\mu},$$

$$\begin{aligned} \dot{p}^{\mu\nu} = & \frac{N}{2\hat{e}} \hat{g}^{\mu\nu} \left(p^{\rho\sigma} p_{\rho\sigma} - p^2 \right) - \frac{2N}{\hat{e}} \left(p^{\mu\rho} p^{\nu}_{\rho} - p^{\mu\nu} p \right) + \hat{e} \left(\hat{\Delta} N \hat{g}^{\mu\nu} - \hat{\nabla}^{\mu} \hat{\nabla}^{\nu} N \right) \\ & - p^{\mu\rho} \hat{\nabla}_{\rho} N^{\nu} - p^{\nu\rho} \hat{\nabla}_{\rho} N^{\mu} + \hat{\nabla}_{\rho} \left(N^{\rho} p^{\mu\nu} \right) - N \sum_I \frac{p_I^{\mu} p_I^{\nu}}{2\sqrt{m_I^2 - \hat{p}_I^2}} \delta(\mathbf{x} - \mathbf{q}_I) \end{aligned}$$

Equations for dislocations axes

$$\dot{q}_I^{\mu} = - \frac{N}{\sqrt{m_I^2 - \hat{p}_I^2}} \Big|_{\mathbf{x}=\mathbf{q}_I} p_I^{\mu} - N^{\mu} \Big|_{\mathbf{x}=\mathbf{q}_I}$$

$$\dot{p}_{I\mu} = - \partial_{\mu} \left[N \sqrt{m_I^2 - \hat{p}_I^2} - N^{\nu} p_{I\nu} \right]_{\mathbf{x}=\mathbf{q}_I}$$

Complex coordinates

$$(x^1, x^2) \mapsto (z, \bar{z}) \quad \text{where} \quad z := x^1 + ix^2, \quad \bar{z} := x^1 - ix^2$$

Gauge fixing

$$g_{\mu\nu} = e^{2\phi} \delta_{\mu\nu} \quad \text{- conformally flat metric} \quad \delta_{\mu\nu} = \begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}$$

$$p := p^{\mu\nu} g_{\mu\nu} = 0 \quad \text{- the third gauge condition}$$

$$p^z_{\bar{z}} = p^1_1 + ip^1_2, \quad p^{\bar{z}}_z = p^1_1 - ip^1_2,$$

$$p^z_z = 0, \quad p^{\bar{z}}_{\bar{z}} = 0 \quad g_{\mu\nu}, p^{\mu\nu} \mapsto \phi, p^z_{\bar{z}}$$

Solution of the kinematical constraints

$$H_\mu = 0 \implies \partial_{\bar{z}} p^{\bar{z}}_z = -\frac{1}{2} \sum_I p_{Iz} \delta(z - z_I) \implies p^{\bar{z}}_z = -\frac{1}{2\pi} \sum_I \frac{p_{Iz}}{z - z_I}$$

Center of mass coordinate system: $\sum_I p_{Iz} = 0$

$$p^{\bar{z}}_z = \frac{P_{N-2}(z)}{\prod_I (z - z_I)} = C \frac{\prod_A (z - z_A)}{\prod_I (z - z_I)}$$

where $C(z_I, p_{Iz}) := \frac{1}{2\pi} \sum_I p_{Iz} \sum_{J \neq I} z_J$

Asymptotic behavior:

$$p^{\bar{z}}_z \Big|_{z \rightarrow z_I} = -\frac{1}{2\pi} \frac{p_{Iz}}{z - z_I} \qquad p^{\bar{z}}_z \Big|_{z \rightarrow \infty} = \frac{C}{z^2}$$

Solution of the Dynamical Constraint

$$H_{\perp} = 0 \implies 2\Delta\phi = 2p^{\bar{z}}_z p^z_{\bar{z}} e^{-2\phi} + \sum_I \sqrt{m_I^2 - 4p_{Iz} p_{I\bar{z}}} e^{-2\phi} \delta(z - z_I)$$

$$2\tilde{\phi} := 2\phi - \ln\left(2p^{\bar{z}}_z p^z_{\bar{z}}\right) \quad \text{- ansatz}$$

$$2\Delta\tilde{\phi} = e^{-2\tilde{\phi}} + 4\pi \sum_I (a_I + 1) \delta(z - z_I) - 4\pi \sum_A \delta(z - z_A)$$

where $4\pi a_I := \sqrt{m_I^2 - \hat{p}_I^2}$

Theorem: $\hat{p}_I^2(z_I) = 0$

$$2\Delta\tilde{\phi} = e^{-2\tilde{\phi}} + 4\pi \sum_I (\theta_I + 1) \delta(z - z_I) - 4\pi \sum_A \delta(z - z_A)$$

Asymptotic behavior:

$$e^{2\phi} \Big|_{z \rightarrow z_I} \sim \left[(z - z_I)(\bar{z} - \bar{z}_I) \right]^{-|\theta_I|}$$

$$M = \int_{x^3 = \text{const}} d^2x \hat{e}\hat{R} = 2 \int_{x^3 = \text{const}} dz \Delta\phi = \text{const} < \infty \quad \text{- the Euler number}$$

$$e^{2\phi} \Big|_{z \rightarrow \infty} \simeq (z\bar{z})^{\mu} \quad \mu := \frac{M}{4\pi} - \sum |\theta_I| \quad \mu > -1$$

Lapse function

$$\dot{p} = \dot{p}^{\mu\nu} g_{\mu\nu} + p^{\mu\nu} \dot{g}_{\mu\nu} = 0 \implies$$

$$\hat{e}\Delta N + \frac{N}{\hat{e}} p^{\mu\nu} p_{\mu\nu} - N \sum_I \frac{\hat{p}_I^2}{m_I} \delta(\mathbf{x} - \mathbf{q}_I) = 0$$



$$\Delta N = -2e^{-2\tilde{\phi}} N - e^{-2\phi} N \sum_I \frac{4p_{Iz} p_{I\bar{z}}}{|m_I|} \delta(z - z_I)$$

One can prove that $N(z_I)$ is finite

$$\Delta N = -e^{-2\tilde{\phi}} N \implies$$

$$N = \frac{\partial(2\tilde{\phi})}{\partial M}$$

- general solution

Asymptotic behavior:

$$N|_{z \rightarrow z_I} \simeq c_I - 2 \left(\frac{(z - z_I)(\bar{z} - \bar{z}_I)}{\text{const}} \right)^{|\theta_I|} \quad c_I \neq 0$$

$$N|_{z \rightarrow \infty} \simeq \frac{1}{4\pi} \ln(z\bar{z})$$

Shift functions

$$\dot{g}_{\mu\nu} - \frac{1}{2} g_{\mu\nu} (g^{\rho\sigma} \dot{g}_{\rho\sigma}) = 0 \quad \text{- traceless part of the equation } \dot{g}_{\mu\nu} = 0$$



$$\frac{2N}{\hat{e}} p_{\mu\nu} + \hat{\nabla}_{\mu} N_{\nu} + \hat{\nabla}_{\nu} N_{\mu} - g_{\mu\nu} \hat{\nabla}_{\rho} N^{\rho} = 0$$



$$\partial_{\bar{z}} N^z = -N e^{-2\phi} p^z_{\bar{z}} \quad \times p^z_{\bar{z}}$$



$$p^z_{\bar{z}} \partial_{\bar{z}} N^z = 2 \partial_{\bar{z}} \partial_z N$$



$$N^z = \frac{2}{p^z_{\bar{z}}} \partial_z N + g(z) \quad \text{- general solution}$$

$$p^z_{\bar{z}} = C \frac{\prod_A (z - z_A)}{\prod_I (z - z_I)} \quad g(z) \sim \frac{P(z)}{\prod_A (z - z_A)} \quad \text{- meromorphic function}$$

Solution of Einstein's equations

$$R_{\alpha\beta} - \frac{1}{2} g_{\alpha\beta} R = -\frac{1}{2\sqrt{g}} \sum_I \frac{m_I \dot{q}_{I\alpha} \dot{q}_{I\beta}}{\dot{q}_I^3} \delta(\mathbf{x} - \mathbf{q}_I)$$

The gauge $g_{\mu\nu} = e^{2\phi} \delta_{\mu\nu} \quad p := p^\mu{}_\mu = 0$

Center of mass coordinate system: $\sum_I p_{Iz} = 0$

Momenta $p^{\bar{z}}{}_z = C \frac{\prod_A (z - z_A)}{\prod_I (z - z_I)}$ where $C(z_I, p_{Iz}) := \frac{1}{2\pi} \sum_I p_{Iz} \sum_{J \neq I} z_J$

The conformal factor $e^{2\phi} = 2 p^{\bar{z}}{}_z p^z{}_{\bar{z}} e^{2\tilde{\phi}}$

$$2\Delta\tilde{\phi} = e^{-2\tilde{\phi}} + 4\pi \sum_I (\theta_I + 1) \delta(z - z_I) - 4\pi \sum_A \delta(z - z_A) \quad \text{- the Liouville equation}$$

The lapce function $N = \frac{\partial(2\tilde{\phi})}{\partial M}$

Shift functions $N^z = \frac{2}{p^{\bar{z}}{}_z} \partial_z N + g(z)$

Dislocations axes

Equilibrium equations:

$$\dot{q}_I^\mu = -\frac{N}{\sqrt{m_I^2 - \hat{p}_I^2}} p_I^\mu - N^\mu$$

$$\dot{p}_{I\mu} = -\partial_\mu \left[N \sqrt{m_I^2 - \hat{p}_I^2} - N^\nu p_{I\nu} \right]_{\mathbf{x}=\mathbf{q}_I}$$



$$\dot{z}_I = -g(z_I)$$

$$\dot{p}_{Iz} = -p_{I\mu} \frac{\partial N_\mu}{\partial z} \Big|_{z=z_I} + m_I \frac{\partial N}{\partial z} \Big|_{z=z_I}$$

The Liouville equation

$$2\Delta\tilde{\phi} = e^{-2\tilde{\phi}} + 4\pi \sum_I (\theta_I + 1) \delta(z - z_I) - 4\pi \sum_A \delta(z - z_A)$$

For simplicity consider the case of two dislocations $I = 1, 2$

The solution $e^{-2\tilde{\phi}} = \frac{8f'(z)\bar{f}'(\bar{z})}{(1+f(z)\bar{f}(\bar{z}))^2}$ where

$$f(z) = \frac{y^1(z)}{y^2(z)} \quad y^a(z), \quad a = 1, 2$$

- two independent solutions of Fuchsian second order differential equation

$$y^a(z) \Big|_{z \rightarrow z_I} \sim (z - z_I)^{\beta_{aI}} \quad \beta_{aI}$$

- local exponents

$$f(z) \Big|_{z \rightarrow z_I} \sim (z - z_I)^{\beta_{1I} - \beta_{2I}}$$

$$\beta_{2I} - \beta_{1I} = \theta_I$$

The Fuchsian differential equation

$$y'' + Q(z)y = 0$$

$$Q(z) = \frac{1}{4} \left[\frac{1 - \theta_1^2}{(z - z_1)^2} + \frac{1 - \theta_2^2}{(z - z_2)^2} + \frac{\theta_1^2 + \theta_2^2 - \theta_\infty^2 - 1}{(z - z_1)(z - z_2)} \right]$$

The Riemann scheme

$$\begin{pmatrix} z_1 & z_2 & z_\infty \\ \frac{1 - \theta_1}{2} & \frac{1 - \theta_2}{2} & \frac{-1 - \theta_\infty}{2} \\ \frac{1 + \theta_1}{2} & \frac{1 + \theta_2}{2} & \frac{-1 + \theta_\infty}{2} \end{pmatrix}$$

$$z \mapsto \zeta = \frac{z - z_1}{z_2 - z_1}$$

$$z_1, z_2, z_\infty \mapsto 0, 1, \infty$$

$$\zeta(1 - \zeta)y'' + [c - (a + b + 1)\zeta]y' - aby = 0 \quad \text{- hypergeometric equation}$$

The Riemann scheme

$$\begin{pmatrix} 0 & 1 & \infty \\ 0 & 0 & \beta_{11} + \beta_{12} + \beta_{1\infty} \\ \beta_{21} - \beta_{11} & \beta_{22} - \beta_{12} & \beta_{11} + \beta_{12} + \beta_{2\infty} \end{pmatrix} = \begin{pmatrix} 0 & 1 & \infty \\ 0 & 0 & a \\ 1 - c & c - a - b & b \end{pmatrix}$$

Conclusion

- 1 Arbitrary distribution of wedge dislocations in elastic media is described within the Geometric theory of defects.
- 2 The free energy is given by 3-dimensional Euclidean gravity coupled to point particles.
- 2 Einstein's equations are reduced to solving the Fuchsian differential equation
- 3 For two wedge dislocations the problem is solved analytically in terms of the hypergeometric functions

Reduction to the Riemann—Hilbert problem

$$g_{\alpha\beta} = e_{\alpha}^a e_{\beta}^b \delta_{ab}$$

$$R_{\alpha\beta\gamma\delta} = \frac{1}{2} \varepsilon_{\alpha\beta\varepsilon} \varepsilon_{\gamma\delta\zeta} R^{\varepsilon\zeta} \quad \varepsilon_{\alpha\beta\gamma} \text{ - totally antisymmetric tensor}$$

$$R_{\alpha\beta\gamma\delta} = 0 \quad \Longrightarrow \quad e_{\alpha}^a = \partial_{\alpha} y^a$$

The gauge: $S = \int d^2 z \sqrt{\det h} h^{\alpha\beta} \partial_{\alpha} y^a \partial_{\beta} y_a \quad \Longrightarrow \quad \partial_z \partial_{\bar{z}} y^a = 0$

\Downarrow

$$y^a = F^a(z, x^3) + G^a(\bar{z}, x^3) + H^a(x^3)$$

$$e_z^a := \partial_z y^a = e_z^a(z, x^3) \quad \text{- holomorphic}$$

$$e_{\bar{z}}^a := \partial_{\bar{z}} y^a = e_{\bar{z}}^a(\bar{z}, x^3) \quad \text{- antiholomorphic} \quad e_{\bar{z}}^a = \overline{e_z^a}$$

$$e_3^a := \partial_3 y^a = C^a(x^3) + \int dz e_z^a + \int d\bar{z} e_{\bar{z}}^a$$

Reduction to the Riemann—Hilbert problem

Everything is defined by $e_z^a(z, x^3)$

Let γ_I be a closed loop around the dislocation axis at z_I

$$\begin{aligned} y^a(z_0) \mapsto \tilde{y}(z_0) &= \int_{z_0}^{z_I} dz e_z^a + \oint_{\gamma_I} dz e_z^a + \int_{z_I}^{z_0} dz e_z^a \\ &= (1 - M_I) Y_I^a + \oint_{\gamma_I} dz e_z^a \end{aligned}$$

$M_I \in \mathrm{SO}(3)$ - the monodromy matrix

$$\pi\left(\mathbb{C} \setminus \{z_1, \dots, z_N, z_\infty\}; z_0\right) \rightarrow \mathrm{SO}(3) \subset \mathrm{GL}(3, \mathbb{C})$$

the Riemann—Hilbert problem

Show that for any representation $\pi\left(\mathbb{C} \setminus \{z_1, \dots, z_N, z_\infty\}; z_0\right) \rightarrow \mathrm{GL}(p, \mathbb{C})$

there is a Fuchsian system of equations with a given monodromy